

A METHOD FOR DETECTING BACTERIAL EXACERBATIONS OF  
CHRONIC LUNG DISEASE

This application claims the priority of U.S. provisional application serial no. 60/181,620 filed on February 10, 2000, the disclosure of which is incorporated herein by reference.

#### FIELD OF THE INVENTION

10 The present invention relates generally to the area of lower respiratory tract infections. More particularly, the present invention provides a method for differentiating bacterial versus non-bacterial exacerbations of chronic lung disease.

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## DISCUSSION OF RELATED ART

Chronic bronchitis and other lung diseases including cystic fibrosis and bronchiectasis, are associated with intermittent exacerbations (such as acute exacerbations of chronic bronchitis (AECB)) that lead to worsening of the chronic symptoms of productive cough and dyspnea. These exacerbations cause considerable morbidity, and in patients with concomitant airway obstruction such as chronic obstructive pulmonary disease (COPD), are a major cause of mortality (Burrows et al., 1969, *N. Engl. J. Med.*, 280:397-404). AECB can have one or more of several different etiologies (Sethi, 1998, *Infect. Clin. Pract.*, 7:S300-S308). Virus infection, identified by a four-fold rise in antibody titer or by viral isolation, causes a third of exacerbations (Gump et al., 1976, *Am. Rev. Respir. Dis.*, 113:465-473; Buscho et al., 1978, *J. Infect. Dis.*, 137:377-383; Smith et al., 1980, *Am. Rev. Respir. Dis.*, 121:225-232). Serological evidence of atypical bacterial infection, mostly by *Chlamydia pneumoniae*, is

seen in 5-10% of exacerbations (Blasi et al., 1993, *Eur. Respir. J.*, 6:19-22; Miyashita et al., 1998, *Chest*, 114:969-971). Bacterial pathogens, especially nontypeable *Haemophilus influenzae*, *Streptococcus pneumoniae*, *Moraxella (Branhamella) catarrhalis* and *Pseudomonas aeruginosa* are isolated from sputum in about 50% of exacerbations (Sethi, 1998, *supra*).

The role of bacterial pathogens as a cause of AECB is controversial for several reasons. Bacterial

10 pathogens can be isolated from sputum during stable  
chronic bronchitis at the same frequency as during  
exacerbations. Serological studies examining antibodies  
to common bacterial pathogens and placebo-controlled  
antibiotic trials in AECB have yielded confusing and  
contradictory results. Though alternative explanations  
15 exist for these observations, many authors have  
interpreted them to show that bacterial pathogens play  
no role in AECB (Tager et al., 1975, *N. Engl. J. Med.*  
292:563-571; Murphy et al., 1992, *Am. Rev. Respir. Dis.*  
20 146:1067-1083; Isada, 1993, *Antibiotics for chronic*  
*bronchitis with exacerbations. Seminars in Respiratory*  
*Infections.* 8:243-253; Nicotra et al., 1993, *Antibiotic*  
*use in exacerbations of chronic bronchitis. Seminars in*  
*Respiratory Infections.* 8:254-258). This view holds  
25 that isolation of bacteria during AECB represents  
chronic colonization and is a mere epiphenomenon.

The absence of a more definitive and quick indication regarding the involvement of bacterial induced exacerbation of chronic lung disease makes the choice of treatment options difficult. Culturing of sputum to identify bacterial involvement is time consuming. Thus, there is an ongoing need for identifying markers that provide a simple and quick test to distinguish between different etiological causes of

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exacerbations of chronic lung disease, in particular to distinguish between bacterial and non-bacterial induced exacerbations.

5 SUMMARY OF THE INVENTION

The present invention provides a simple and quick test for discriminating between bacterial and non-bacterial exacerbations of chronic lung disease. The test is based on the unexpected observation of a strong 10 correlation between bacterial AECB and sputum elastase. Thus, the test comprises obtaining a sputum sample from an individual such that it contains secretions of the lower respiratory tract, and testing it for the presence 15 of elastase. For certain pathogens, a correlation is also observed between AECB and sputum IL-8 or TNF- $\alpha$ . Thus, in another embodiment, the levels of IL-8 or TNF- $\alpha$  may be tested. The amount of these markers is then compared to standard reference. The comparison may be done using a colorimeter or by visual means.

20 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a representation of sputum sample selection flow sheet for data generated in Example 1.

Figure 2A-2C are representations of box plots of 25 sputum inflammation in the unpaired groups. The vertical bar represents 10<sup>th</sup> and 90<sup>th</sup> percentile values, the box encompasses the 25<sup>th</sup>-75<sup>th</sup> inter-quartile range and the horizontal line in the box represent median values. The arrows represent significant ( $p<0.05$ ) differences by 30 the Mann-Whitney U rank test. HI = *H. influenzae* isolated as the sole pathogen on culture, HP = *H. parainfluenzae* isolated as the sole pathogen on culture, MC = *M. catarrhalis* isolated as the sole pathogen on culture, NG = only normal flora isolated on culture for 35 Interleukin 8 (IL-8; Figure 2A), Tumor Necrosis Factor  $\alpha$

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(TNF- $\alpha$ ; Figure 2B) and Neutrophil Elastase (NE; Figure 2C).

5 Figures 3A-3C are representations of paired  
comparison of airway inflammation in pathogen-positive  
AECB (PP) with pathogen-negative AECB (NG). Lines  
connect the measured values from individual patients.  
Horizontal bars represent median values. The p-values  
obtained with the Wilcoxon signed-rank test are shown  
for Interleukin 8 (IL-8; Figure 3A), Tumor necrosis  
10 factor  $\alpha$  (TNF $\alpha$ ; Figure 3B) and Neutrophil elastase (NE;  
Figure 3C).

15 Figure 4 is a representation of the correlation of  
free sputum elastase activity (NE) with the clinical  
score obtained during each exacerbation. Free sputum  
elastase activity is expressed on the y-axis and the  
clinical score is expressed on the x-axis. The Spearman  
correlation coefficient (Rho) and the corresponding p  
value are also shown.

20 DETAILED DESCRIPTION OF THE INVENTION

This invention provides a method for discriminating  
between bacterial and non-bacterial induced  
exacerbations of chronic lung disease. The term  
"chronic lung disease" as used herein includes, but is  
not limited to, chronic bronchitis, cystic fibrosis and  
25 bronchiectasis. Exacerbations of chronic lung disease  
are characterized by a worsening of symptoms of  
underlying lung disease characterized by increased  
shortness of breath, increased sputum production and  
increased sputum purulence compared to baseline  
30 symptoms. The present method is based on the unexpected  
observation that elastase levels are elevated during  
bacterial-induced exacerbations. For certain pathogens,  
a correlation was also observed between AECB and sputum  
35 IL-8 or TNF- $\alpha$ .

The method of the present invention comprises  
determining the presence or level of elastase in sputum

that contains the secretions of the lower respiratory tract. In another embodiment, the method comprises determining the presence or level of IL-8 or TNF- $\alpha$  in the sputum samples. Sputum can be collected in a variety of ways, including expectorating into a cup, suctioning, induction with nebulized saline and bronchoalveolar lavage through a bronchoscope. In one embodiment, the sputum is induced with hypertonic saline solution. Suitable saline concentrations are in the range of 3 to 5 percent. An example of a suitable saline concentration is 2%. This is a well accepted method of inducing sputum that contains the secretions of the lower respiratory tract. For induction of sputum, a commercially available nebulizer may be used.

Generally a volume of 10-30 mls is obtained.

A suitable volume of nebulized saline (such as 20 mls) is inhaled by the patient and then the patient attempts to expectorate serum till a sufficient amount is collected. For example, nebulized saline may be inhaled over a 20 minute period and the patient may attempt to expectorate sputum every 5 minutes.

Once a sufficient amount of sputum for analysis is obtained, it may be directly used for the determination of elastase, IL-8 or TNF- $\alpha$ . The sputum may also be processed for removal of cellular components such as by centrifugation or filtration. The supernatant may be assayed directly or stored frozen for future testing.

An aliquot of the sputum may be checked for the presence of lower respiratory tract secretions.

30 Indicators for lower respiratory tract secretions include the presence of neutrophils (such as by gram staining) or the presence of fibrinogen. Fibrinogen is typically absent from the saliva and is taken as an indicator of lower respiratory tract secretions.

35 Elastase activity may be determined by any standard technique. One example is the use of a double antibody sandwich assay (such as described by Crooks et al.,

1995, *J. Clin Microbiol.*, 33:924-929). Another example is the use of fluorogenic substrates as described in U.S. Patent no. 4,336,186. Chromogenic substrates that can be used include, but are not limited to,

5 methoxysuccinyl-L-alanyl-L-alanyl-L-prolyl-L-valine 7 amino-4-methyl-coumarin (Shah et al., 1996, *Euro. Respir. J.*, 9:531-534; succinyl-L-alanyl-L-alanine-p-nitroanilide (Tsang et al., 2000, *Chest*, 117:420-426. One method involves the use of a synthetic substrate N-10 methoxysuccinyl-ala-ala-pro-val p-nitroanilide (Fujita et al., 1990, *Am. Rev. Respir. Dis.*, 142:57-62).

Reaction of elastase with this substrate produces a colored product detected at 405 to 570nm. Quantitative determination can be made by comparison with a standard 15 curve or a semi-quantitative determination can be made by comparing to a reference standard. Those skilled in the art will recognize that the reference standard may be a control sample run in parallel or a color graded reference strip.

20 For use in a semi-quantitative manner, the elastase assay may be carried out as a dipstick or test strip assay. The test strip or dipstick has an absorbant carrier such as a filter paper, felt, porous ceramic strips and glass fiber materials. The test strip or 25 dipstick is impregnated with the substrate in a suitable buffer system. The test strip can be directly dipped into the test sample. A semi-quantitative estimate may be made by comparing to a color graded chart or comparing to a reference standard.

30 For quantitative determination, the sputum sample may be optionally diluted. The sample is then allowed to contact its chromogenic or fluorogenic substrate. Any elastase activity present in the sample will cause a change in the emission spectrum of the substrate such 35 that it may be detected using a standard colorimeter. A

parallel set of standards may be used to determine the level.

Determination of IL-8 and TNF- $\alpha$  levels can be carried out by standard techniques such as by ELISA assays using commercially available reagents.

These and other applications will become more clear from the following examples, which are intended to be illustrative and not restrictive. While the examples given below refer to AECC, those skilled in the art will recognize that the test may be equally applicable to other infections of the lower or upper respiratory tract.

### Example 1

15 This embodiment describes the collection of sputum samples during bacterial exacerbations of chronic lung disease.

20 A total of 74 patients were enrolled in a prospective, longitudinal study of the dynamics and immune response to bacterial infection in chronic bronchitis from March 1994 to December 1997. Inclusion criteria were a) presence of chronic bronchitis as defined by the American Thoracic Society (1995, *Am. J. Respir. Crit. Care Med.*, 152:S77-S120; b) absence of 25 asthma or bronchiectasis by clinical assessment; c) ability to comply with monthly clinic visits; d) absence of immunosuppression (<20mg/day prednisone was allowed) or other life-threatening illness. The subjects were seen monthly, and whenever they were experiencing 30 symptoms suggestive of an AEBCB, in an outpatient study clinic at the Buffalo VA Medical Center.

At each visit, clinical information, sputum and serum samples were collected. Of a total of 1503 clinic visits, the subject's COPD was clinically stable in 1227 (81.6%) of these visits, while in 276 (17.4%) visits, the subject was experiencing an AECB. Whether a patient

was stable or experiencing an exacerbation was determined as follows. At each visit, the subject was questioned about the status of his chronic respiratory symptoms, and the responses were graded as 1) same as 5 baseline 2) somewhat worse than baseline or 3) much worse than baseline. If there was minor worsening of 2 or more symptoms or a major worsening of 1 or more symptoms, a clinical assessment was made as to the cause. If necessary, a chest x-ray was obtained. If no 10 other cause, such as pneumonia, upper respiratory infection, congestive heart failure was identified, the patient was determined to be experiencing an exacerbation.

15 An overall clinical score was calculated at each visit, which served as a measure of clinical severity of the AECB episode. At each clinic visit in the longitudinal study, ten clinical parameters (overall well being; dyspnea; cough; sputum production, viscosity, and purulence; overall appearance, 20 respiratory rate, wheezing and rales) were assessed and graded from 1 to 3 as described above. A score of 10 represented baseline and a score of 30 represented the sickest patient.

25 Sputa collected were spontaneous morning samples.  
The whole sputum sample was weighed, graded  
macroscopically for purulence and viscosity, and  
homogenized by incubation at 37°C for 15 min. with an  
equal volume of 0.1% dithiothreitol (Sputolysin,  
Calbiochem). Serial dilutions of homogenized sputum  
30 were prepared in phosphate buffered saline (PBS) and  
plated on blood, chocolate and MacConkey agar plates.  
Bacterial identification was performed by standard  
techniques. If *H. influenzae*, *M. catarrhalis* or *S.*  
*pneumoniae* were present, an attempt was made to isolate  
35 and characterize 10 individual colonies of each  
bacterial species. Bacterial titers were measured by

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counting the number of colonies in the dilution plates and multiplying the count by the appropriate dilution factor. The remainder of the sputum sample was centrifuged at 25,000g for 45 minutes at 4°C and the 5 resultant supernatant was stored at -70°C. Of the 276 episodes of AECB, sputum supernatant obtained in 81 exacerbations in 45 patients was selected to be included in this study as shown in Fig. 1. These selection criteria allowed the avoidance or overrepresentation by 10 patients who had frequent exacerbations.

As shown in Table 1, the population was predominantly an elderly male population. On enrollment, 26 (58%) of the subjects had severe ( $FEV_1 < 50\%$  predicted), 12 (27%) had moderate ( $FEV_1 50-64\%$  predicted), 2 (4%) had mild ( $FEV_1 65-80\%$  predicted) COPD and 5 (11%) had chronic bronchitis only. 15

Table 1. Demographic characteristics of patients whose sputum samples were included in this study.

20	Mean age (range)	65.4 (46-82)
	Sex (n)	Male: 44 Female: 1
	Race (n)	Caucasian: 36 African-American: 9
25	Mean years since diagnosis of COPD (range)	14.96 (2-58)
	Smoking status on enrollment (n)	Ex-smokers: 26 Current smokers: 19
	Mean smoking pack-years (range)	92.07 (10-185)
	Mean $FEV_1$ in liters (range)	1.68 (0.59-3.93)
	Mean $FEV_1$ %predicted (range)	49.07 (17-99)

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The sputum samples obtained during AECB were further classified as follows. Pathogen-negative AECB

were those in which only normal flora was isolated from sputum obtained at the time of diagnosis. Normal flora was defined as the absence of the following pathogens in sputum: *Haemophilus* spp., *M.catarrhalis*, *S. pneumoniae*,  
5 *Staphylococcus aureus*, *Psedomonas* spp., and Gram-negative bacilli. Bacterial ACEB were those in which any of these pathogens were isolated from sputum culture. The bacterial AECB cases included in the present study were those from which *H. influenzae* or *M. 10 catarrhalis* was the sole pathogen isolated from sputum obtained at the time of diagnosis. To examine the role of *Haemophilus parainfluenzae* as a pathogen in AECB, a group of exacerbations in which *H. parainfluenzae* was the sole 'pathogen' isolated, were also included.  
15 Neutrophilic inflammation was measured by quantifying interleukin-8 (IL-8), tumor necrosis factor alpha (TNF- $\alpha$ ), and neutrophil elastase (NE) in the sputum supernatants. Pathogen-positive sputum samples were compared with pathogen-negative samples.

### Example 3

This embodiment describes the properties of sputum samples obtained from individuals in Example 1. As discussed above, sputum induction with hypertonic saline has become a widely accepted research technique to obtain lower respiratory tract secretions in airway diseases such as asthma and COPD. To assess the adequacy of the expectorated sputum samples as representative of the lower airway milieu, 52 consecutive induced sputum samples from 31 patients were compared with spontaneously expectorated sputum collected by the patient on the morning of the induction. A volume of 30 cc of hypertonic (3%) saline was nebulized over 15-20 minutes with an ultrasonic nebulizer (DeVilbiss) 099HD to induce sputum production. The paired (induced versus spontaneously expectorated)

5 sputum samples were graded for purulence and viscosity, subjected to gram staining and microscopic examination and measurement of fibrinogen level (a marker for lower respiratory tract secretions). Table 2 shows the scoring system used to categorize the gross and microscopic features of the sputum specimens. These results indicate that induced and expectorated sputum are similar in the properties tested and therefore, either sputum may be used for the present invention.

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Table 2. Scoring system for comparison of induced and spontaneous samples

Characteristic	Score = 1	Score = 2	Score = 3
Purulence	Mucoid	Mucopurulent	Purulent
Viscosity	Thin, watery	Thick	Extremely thick
Non-squamous cells	<10 / lpf*	10-25 / lpf	>25 / lpf
Squamous cells	<10 / lpf	10-25 / lpf	>25 / lpf

20 \* = Low power field (10x) of microscope

25 Fibrinogen is absent in saliva and is present in measurable amounts in lower respiratory tract secretions. To assess the quality of the expectorated sputum samples, paired induced and expectorated sputa were subject to measurement of fibrinogen levels by using a competitive enzyme linked immunosorbent assay (ELISA; Fahy et al., 1993, *Am. Rev. Respir. Dis.*

30 147:1126-1131). For the samples tested in this example, the minimum fibrinogen level detectable was 30ng/ml and the linear range of this assay was 60 ng/ml to 2000ng/ml.

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The only significant differences between the induced and expectorated sputa were that the sputa were more purulent and viscous on gross examination than the induced sputa (Table 3). This could reflect a pooling 5 of secretions overnight in the lower respiratory tract or a dilution of the induced sputa by inhaled saline. Microscopic purulence and salivary contamination were similar in the two groups as well as the isolation rate for the major pathogens (*H. influenzae*, *M. catarrhalis*, 10 *S. pneumoniae*; Table 3). These results further demonstrate that spontaneously expectorated sputa in patients with COPD are comparable to induced sputa and can be used to study the lower respiratory tract milieu. 15

15 Table 3. Comparison of induced and spontaneous sputa

	Characteristic	Spontaneous mean value (range)	Induced mean value (range)	P value
20	Weight (mg)	7.84 (1-35)	6.94 (1-28)	ns
25	Purulence score	2.33 (1-3)	2.00 (1-3)	0.016
30	Viscosity score	1.80 (1-3)	1.59 (1-3)	0.02
	Non-squamous cells /lpf* score	2.77 (1-3)	2.69 (1-3)	ns
	Squamous cells/lpf score	1.94 (1-3)	1.79 (1-3)	ns
	% NTHI isolated	28.8%	25%	ns
	% <i>M. catarrhalis</i> isolated	15.4%	15.4%	ns
	% <i>S. pneumoniae</i> isolated	9.6%	7.5%	ns
	Sputum fibrinogen level mean ( $\pm$ 1 SD)	4,400 ( $\pm$ 4,100)	3,600 ( $\pm$ 4000)	ns

\* = Low power field (10x) of microscope

### Example 3

This embodiment describes the measurement of  
5 inflammation markers in sputum samples obtained as  
described in Examples 1 and 2. All sputum supernatants  
and standards were tested in duplicate and mean values  
were used. Intra-assay coefficient of variation of <10%  
10 and inter-assay coefficient of variation of <15% were  
accepted. Because of limited volume of sputum supernatant  
available for a small number of samples, all measurements  
were not performed on all samples. The following assays  
were performed.

### IL-8 Assay

15 A sandwich enzyme linked immunosorbent assay (ELISA) assay  
was developed. Commercially available reagents were used  
for this assay (e.g., from R&D Systems, Minneapolis, MN).  
All intermediate washes were done with plate wash buffer  
(PWB, PBS with 0.05% Tween-20). Standard microtiter wells  
20 were coated with 50 $\mu$ l of goat anti-human IL-8 antibody  
diluted to 4 $\mu$ g/ml in CBC buffer (0.1M sodium carbonate,  
0.1M sodium bicarbonate, pH 9.6) overnight at room  
temperature. The coating antibody was aspirated and the  
wells blocked with 300 $\mu$ l of 3% nonfat dried milk in PWB  
25 for 1 hr. The wells were washed, dried and then coated  
with a buffer solution (such as 50 $\mu$ l of Stabilcoat<sup>TM</sup>,  
Surmodics, MN) for 45 minutes. After removing the  
Stabilcoat, the plates were dried overnight at room  
temperature and used for detection of IL-8 activity or  
30 stored at 4°C for use at a later time.

Serial dilutions of recombinant IL-8 and 1:10 dilution of sputum supernatants in 1% nonfat dried milk in PWB were prepared. Fifty  $\mu$ l of the standard or sample was added to the wells and incubated at 37°C for 2 hr. After washing, 50 $\mu$ l of biotinylated anti-human IL-8 diluted to 200ng/ml in 1% nonfat dried milk in PWB was added to the

wells for 1 hr at 37°C. After washing, bound biotinylated antibody was detected with streptavidin-horseradish-peroxidase and the optical density of the wells was read at 450nm. A standard curve was generated from the wells 5 containing recombinant IL-8 and the amount of IL-8 in the samples was read from this curve. The lower limit of detection of IL-8 in this assay was 5pg/ml and the linear range was 20pg/ml to 12,500pg/ml.

TNF $\alpha$  Assay

10 A sandwich ELISA similar to the IL-8 ELISA was developed with commercially available reagents (R&D Systems). Homogenized sputum supernatants were tested without further dilution. The lower limit of detection of TNF $\alpha$  was 10pg/ml and the linear range was 80pg/ml to 15 5,000pg/ml.

NE Assay

20 Elastase activity in undiluted sputum supernatants was determined with a colorimetric assay with a synthetic substrate; N-methoxysuccinyl-ala-ala-pro-val p-nitroanilide, as described previously. A standard curve was generated by titrating commercially available enzyme (Elastin products, Owensville, MO). The lyophilized standard was re-suspended in a 50% glycerol and 50% 0.02 M sodium acetate pH 5.0 solution and serially diluted in PBS 25 from 6.4 to 0.041 units/ml. The substrate solution was prepared by dissolving it in 0.1 HEPES buffer, 0.5 M NaCl, 10% DMSO pH 7.5 to a final concentration of 0.2 mM. One hundred microliters of the substrate solution was added to 30 50  $\mu$ l of the standard or sample and incubated at 37°C for 60 minutes. The resulting solution is then read at 405-570 nm. The minimum elastase activity detectable was 41mU/ml and the linear range was 94mU/ml to 3200mU/ml.

Data Analysis

35 Non-parametric statistical methods were used for all data with Statview 5.0 software. For unpaired data, the

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Kruskall-Wallis and Mann-Whitney U rank tests and for paired data, the Wilcoxon signed-rank test was used. Correlation was tested with the Spearman coefficient. A p-value of <0.05 was considered significant.

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#### Example 4

This example describes the results of the assays of Example 3. The 81 sputum samples studied were divided into 4 groups: HI (*H. influenzae* isolated as sole pathogen on culture, n=20), HP (*H. parainfluenzae* isolated as sole pathogen on culture, n=27), MC (*M. catarrhalis* isolated as sole pathogen on culture, n=14), and NG (only normal flora isolated on culture, n=20).

IL-8 was detectable in all 81 sputa tested, TNF $\alpha$  in 15 40 of the 79 sputa tested and free NE activity in 66 of the 80 sputa tested. Figures 2A-2C depict the results obtained. HI exacerbations were associated with significantly higher sputum IL-8, TNF $\alpha$  and NE when compared to pathogen-negative (NG) exacerbations. MC 20 exacerbations were associated with significantly higher sputum TNF $\alpha$  and NE when compared to pathogen-negative (NG) exacerbations. Except for significantly higher sputum NE, airway inflammation in HP exacerbations was similar to pathogen-negative (NG) exacerbations. There were 25 differences in the intensity and nature of inflammation among the different pathogens. HI exacerbations were associated with significantly greater sputum IL-8, TNF $\alpha$  and NE while MC exacerbations were associated with significantly greater sputum TNF $\alpha$  and NE when compared to 30 HP exacerbations. The HI and MC groups did not differ in any of the sputum inflammatory parameters.

These results demonstrate that isolation from sputum of *H. influenzae* or *M. catarrhalis* in an AECB is 35 associated with an airway inflammatory profile characteristic of neutrophilic inflammation. In contrast, non-bacterial AECB are not associated with such an

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inflammatory profile. Airway inflammation in AECB associated with *H. parainfluenzae* is heterogeneous, however, as a group these AECB have an airway inflammatory profile indistinguishable from non-bacterial exacerbations.

5 There is overlap between groups in the values obtained of the various inflammatory molecules measured, however, 16 of 19 (84.2%) pathogen-negative (NG) sputa have a NE level below 350 mU/ml, while only 10 of 34  
10 (29.4%) HI and MC sputa have a level below this value. In the HP group, 12 of 27 (44.4%) sputa have sputum NE less than 350 mU/ml.

**Example 5**

15 This example describes the comparison of inflammation markers in sputum within Patients. Sputum samples used in this study were collected on a longitudinal basis with individual patients contributing from 1 to 4 samples. Comparison of sputum inflammation in pairs of samples  
20 obtained from individual patients during two exacerbations, which differ only in the presence or absence of pulmonary pathogens was carried out. Such an analysis accounts for the variability among patients in the baseline level of airway inflammation, and the  
25 differences seen within a patient should reflect the presence of pathogens in the airways.

30 All possible pairs of samples available were included in this analysis. In order to obtain at least 10 pairs for analysis, *H. influenzae* (HI) and *M. catarrhalis* (MC) were combined into a single pathogen-positive (PP) group. To further elucidate the role of *H. parainfluenzae* (HP) as a pathogen, it was treated in this analysis, both as a non-pathogen and as a pathogen. Considering HP as a non-pathogen, pathogen-positive (PP) exacerbations were  
35 compared with pathogen-negative (NG) alone, HP alone and a combined NG and HP group. Considering HP as a pathogen,

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HP, HI and MC exacerbations were combined together and compared with NG exacerbations (Table 4).

Pathogen-positive AECB were associated with significantly higher sputum IL-8, TNF $\alpha$  and NE than 5 pathogen-negative (NG) AECB (Table 4, Fig. 3). Compared to *H. parainfluenzae* exacerbations, sputum IL-8, TNF- $\alpha$ , and NE were significantly increased in pathogen-positive AECB. *H. parainfluenzae* exacerbations did not differ in any of 10 the sputum inflammatory parameters from the pathogen-negative AECB (Table 4).

15 **Table 4.** Comparison of sputum inflammation in paired samples. Median difference is the median of the differences between the first and second values of each pair of samples. Only significant p values obtained with the Wilcoxon signed rank test are shown.

	Sputum culture pairs <sup>a</sup>	Number of pairs	IL-8 (pg/ml) Median difference	TNF $\alpha$ (pg/ml) Median difference	NE (mU/ml) Median difference
20	PP - NG	12	7030 (p=0.004)	41 (p=0.036)	13152 (p=0.004)
	PP+HP - NG	18	4480 (p=0.012)	24 (p=0.012)	5628 (p=0.002)
25	PP - HP	16	8350 (p=0.013)	57	103
	PP - NG+HP	28	7830 (p<0.001)	44 (p=0.006)	1358 (p=0.002)

30 <sup>a</sup> PP = *H. influenzae* or *M. catarrhalis* isolated as the sole pathogen on culture, HP = *H. parainfluenzae* isolated as the sole pathogen on culture, NG = Only normal flora isolated on culture, IL-8 = Interleukin 8, TNF $\alpha$  = Tumor necrosis factor a, NE = Neutrophil elastase.

35 These results confirm those obtained above with the sputum samples grouped by culture results. They show that

within a patient, a bacterial (*H. influenzae* and *M. catarrhalis*) AECB is associated with significantly greater neutrophilic airway inflammation than a non-bacterial AECB. Airway inflammation in AECB associated with *H. parainfluenzae* is heterogeneous; however, within a patient they resemble pathogen-negative AECB.

**Example 6**

This example demonstrates a correlation between symptoms for all exacerbations and sputum NE. Clinical scores for the exacerbations included in this study ranged from 12 to 26 with a mean score of 17.5. There was no difference in clinical score among the groups of exacerbations defined by culture results (Kruskall-Wallis, p=ns). There was a significant correlation between clinical score with sputum NE ( $\text{Rho}=0.449$ ,  $p<0.001$ ; Fig 4). This correlation was unaffected by exclusion of the 4 outliers with clinical scores  $>24$ . However, none of the other inflammatory markers measured showed such a relationship. This observation demonstrates that sputum elastase is a marker of the clinical severity of an AECB and could reflect a cause-effect relationship between the two parameters.

**Example 7**

This example demonstrates a correlation among the inflammatory markers and with bacterial titers in sputum. All the three inflammatory markers measured in the sputum demonstrated significant correlation with each other with Rho values of 0.504 for IL-8 and TNF $\alpha$  ( $p=0.001$ ), 0.396 for IL-8 and NE ( $p<0.001$ ) and 0.571 for TNF $\alpha$  and NE ( $p<0.001$ ). Bacterial titers/gram of sputum (Mean  $\pm$  1 SEM) of HI ( $4.89 \pm 1.03 \times 10^8$ ) and MC ( $4.71 \pm 1.13 \times 10^8$ ) did not differ from each other but were significantly greater than HP ( $4.35 \pm 3.69 \times 10^7$ ) with a p value  $<0.001$  for both

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comparisons. There was significant correlation between bacterial titres and sputum IL-8 ( $\rho=0.382$ ,  $p=0.003$ ), TNF $\alpha$  ( $\rho=0.437$ ,  $p=0.001$ ) and NE ( $\rho=0.381$ ,  $p=0.003$ ). While not intending to be bound by any particular theory, 5 these results suggest that bacterial infection may be acting as an inflammatory stimulus and as the stimulus increases, there is a corresponding increase in the airway inflammatory response.

10 The present invention demonstrates that clinical  
features alone cannot readily distinguish bacterial from  
non-bacterial AECB. Sputum purulence has often been  
described as a marker for bacterial exacerbations; but  
sputum was mucoid on gross examination in only 3 of the 64  
15 exacerbations included in this study. On the other hand,  
a sputum elastase (NE) level of 350mU/ml had a sensitivity  
of 70.6%, specificity of 84.2%, positive predictive value  
of 88.9% and negative predictive value of 61.5% in  
distinguishing bacterial (HI or MC) from non-bacterial  
20 (NG) AECB. Based on these observations, the present  
invention provides a semi-quantitative or quantitative  
method of measuring free sputum elastase for use in  
clinical practice to distinguish bacterial from non-  
bacterial AECB. Such measurements would be extremely  
25 useful in identifying patients who would benefit from  
antibiotics.

The foregoing description of the specific embodiments is for the purpose of illustration and is not to be construed as restrictive. From the teachings of the present invention, those skilled in the art will recognize that the device may be modified without departing from the spirit of the invention.